



RPR & ASSOCIATES
COMPANY SECRETARIES

H.No. 158/C, 2nd Floor,
Vengalrao Nagar,
e-seva Lane, S.R. Nagar,
Hyderabad - 500 038.

**CERTIFICATE UNDER REGULATION 40(9) READ WITH REGULATION 61(4) OF
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

We have examined all Securities Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **M/s. Spandana Spoorthy Financial Limited** ("the Company"), having its Registered Office at Plot No: 31 & 32, Ramky Selenium Towers, Tower A, Ground Floor, Financial Dist, Nanakramguda, Hyderabad - 500032, Telangana, being maintained by M/s Karvy Fintech Private Limited (formerly known as Karvy Computershare Private Limited), Hyderabad, the Registrars and Share Transfer Agents (RTA) of the Company pertaining to transfer of Equity Shares and Non-Convertible Debentures (NCDs) issued by the Company for the period from 01.04.2019 to 30.09.2019 for the purpose of issuing this Certificate as per Regulation 40(9) read with Regulation 61(4) of SEBI (Listing obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company and Registrar and Transfer Agent, we hereby certify that during the half year ended on 30th September, 2019.

1. The Company has issued Share Certificate relating to the Share Transfer Deeds received during the period from 1st April, 2019 to 30th September, 2019 as entered in the Memorandum of Transfer within 15 (Fifteen) days from the respective date of lodgement of each transfer deed. (Except those rejected on technical grounds).
2. There were no requests for sub-division, split, consolidation, renewal and exchange of certificates during the half year from 1st April, 2019 to 30th September, 2019.

Place: Hyderabad
Date: 14.10.2019

UDIN: F005783A000074775



**For RPR & Associates
Company Secretaries**

Y. Ravi Prasada Reddy
Y. Ravi Prasada Reddy
Proprietor
FCS No. 5783, CP No. 5360